

# Lonza Group Ltd

Ticker:LONN

Annual General Meeting: April 25, 2017, Congress Center Messe Basel

## Overview

All items on the agenda have been proposed by the Board of Directors

## SWIPRA RECOMMENDATIONS

- |       |  |      |
|-------|--|------|
| 1.    | Annual Report, Consolidated Financial Statements and Financial Statements of Lonza Group Ltd       | FOR  |
| 2.    | Consultative Vote on the Remuneration Report   | FOR* |
| 3.    | Discharge of the Members of the Board of Directors and the Executive Committee                     | FOR  |
| 4.    | Appropriation of Available Earnings / Reserves from Capital Contributions                          | FOR* |
| 5.    | Re-Elections and Elections to the Board of Directors and the Nomination and Compensation Committee |      |
| 5.1   | Re-Elections to the Board of Directors   | *    |
| 5.1.1 | Patrick Aebischer  | FOR* |
| 5.1.2 | Werner Bauer   | FOR  |
| 5.1.3 | Jean-Daniel Gerber   | FOR  |
| 5.1.4 | Christoph Mäder  | FOR* |
| 5.1.5 | Barbara Richmond   | FOR  |
| 5.1.6 | Margot Scheltema   | FOR* |
| 5.1.7 | Rolf Soiron  | FOR  |
| 5.1.8 | Jürgen Steinemann  | FOR  |
| 5.1.9 | Antonio Trius  | FOR  |
| 5.2   | Election to the Board of Directors   | FOR* |
| 5.3   | Re-Election of the Chairperson of the Board of Directors   | FOR  |
| 5.4   | Re-Elections to the Nomination and Compensation Committee  |      |



5.4.1	Jean-Daniel Gerber	FOR
5.4.2	Christoph Mäder	FOR
5.4.3	Jürgen Steinemann	FOR
6.	Re-Election of the Auditors	FOR
7.	Re-Election of the Independent Proxy	FOR
8.	Compensation of the Board of Directors	FOR*
9.	Compensation of the Executive Committee	
9.1	Maximum Aggregate Amount of Fixed Compensation of the Executive Committee	FOR*
9.2	Aggregate Amount of Variable Short-Term Compensation of the Executive Committee	FOR
9.3	Maximum Aggregate Amount of Variable Long-Term Compensation of the Executive Committee	FOR
10.	Ordinary Capital Increase	FOR
11.	Creation of Authorized Capital	FOR
12.	Amendment of Conditional Capital	FOR

\* SWIPRA was looking for further information from the company to clarify this item. SWIPRA considers the insights of this discussion and/or additional information provided by the company, as transparently provided in the research report, to take a final FOR or AGAINST recommendation on each item.



## IMPORTANT NOTICE

*SWIPRA provides voting recommendations on the basis of its Policy Considerations, publicly available information of the Company such as the Company's annual report, including the financial statements, its compensation report, the invitation to the shareholder meeting including any additional information thereto as provided by the Company as well as any other information as available on the Company's webpage or otherwise, as deemed relevant by SWIPRA and, as the case may be, certain aspects as discussed with the respective Company.*

*SWIPRA provides these voting recommendations as information to its customers to facilitate the customer's analysis related to decision making and taking votes as a shareholder and does not claim that these recommendations are complete in all aspects. SWIPRA takes into consideration relevant information as available and provides its recommendations on the basis of its best knowledge and understanding.*

*SWIPRA does not take any responsibility in connection with customers taking investment decisions and does not take any responsibility related to the performance of the respective investments. The user of the recommendations assumes the entire risk of any use of them. SWIPRA recommendations are based on economic principles and do not constitute legal advice. The user of the recommendations should seek independent legal or other professional advice before acting. Without limiting any of the foregoing and to the maximum extent permitted by law, in no event shall SWIPRA have any liability regarding any of its recommendations for any direct, indirect, special, punitive, consequential (including lost profits) or any other damages even if notified of the possibility of such damages.*

*SWIPRA recommendations are available to its customers only. It is not allowed to copy, use these recommendations for third parties, distribute or use the contents other than as for the purpose of analyzing the agenda items of a shareholder meeting.*