

**ESG Regulation and Expectations:
Spill-overs from the financial industry to the real economy?**

**Improving mutual understanding amongst institutional investors and
companies regarding ESG expectations and non-financial reporting
considering regulatory developments and requirements**

17 May 2022

from 08:45 – 13:30

**UBS Konferenzgebäude Grünenhof
Nüscherstrasse 9
Zurich**

REGISTRATION

- From 08:45 **Arrival**
- 09:00 **Welcome**
*David Frick, SVP Corporate Governance, Compliance and Corporate Services Nestlé;
Chairman of SwissHoldings, Member of the Board Economiesuisse u.a.m.*
- 09:05 **Regulatory view: Developments in ESG disclosure rules in the EU and UK**
*Prof. Dr. Kern Alexander, Professorial Chair in Law and Finance and is Professor of
Banking Regulation, University of Zurich*
- 09:25 **Market view: Expectations and observations for the Swiss market**
Barbara Heller, Managing Partner, SWIPRA Services
- 09:50 **Coffee Break**
- 10:20 **Investor view: Stakeholder requirements and the company engagement dialogue**
Dr. Daniel Wild, Chief Sustainability Officer, Bank J. Safra Sarasin
- 10:45 **Company view 1: Dealing with different stakeholder demands and regulation**
Heather Rankin, Public Affairs – Sustainability, Nestlé
- 11:10 **Company view 2: Integration of ESG information in the corporate reporting**
*Peter Burkhalter, Head Accounting, Swisscom; Co-Lead of the SwissHoldings expert
group ‘Rechnungslegung und Berichterstattung’*
- 11:35 **Panel Discussion: How to approach ESG in a material and efficient manner?**
*Giacomo Balzarini, Chief Executive Officer, PSP Swiss Property
Dr. Daniel Wild, Chief Sustainability Officer, Bank J. Safra Sarasin
Heather Rankin, Public Affairs – Sustainability, Nestlé
Barbara Heller, Managing Partner, SWIPRA Services*
Moderator: *Dr. Christian Leitz, Head, Corporate Responsibility, UBS; Chair Joint CSR
Working Group SwissHoldings and Economiesuisse*

Followed by light lunch

Speakers



David Frick, is Secretary to the Board of Directors at Nestlé and responsible for corporate governance, compliance and corporate services. Over the past few years, he helped Nestlé develop into a leader in corporate governance in its home market and beyond. Prior to joining Nestlé, he was a member of the Executive Board and Group General Counsel of Credit Suisse Group, and an attorney-at-law with Cravath, Swaine & Moore, the New York law firm. He is a member of the Boards of Economiesuisse, ICC Switzerland, Allianz Suisse and Chairman of SwissHoldings. David received a JD degree from Zurich University and a Masters of Laws degree from Harvard Law School.



Prof. Dr. Kern Alexander, Full Professor of International Financial Law and Banking Regulation at the University of Zurich and is Co-Director of the Oxford University Bank Governance Programme. He is the author of many research articles and books, including Principles of Banking Regulation (Cambridge University Press, 2019) and Brexit and Financial Service (co-authored, 2018), and Economic Sanctions: Law and Public Policy (2009). He has also authored commissioned reports for the G20, the United Nations, the European Parliament and the European Commission on financial regulation and environmental sustainability. He was Specialist Advisor to the British Parliament's Joint Select Committee on the Financial Services Act 2012.



Barbara Heller, Managing & Founding Partner at SWIPRA Services, a Swiss Corporate Governance Specialist. Barbara is also a member of the Board of Directors of Graubunder Kantonalbank (SIX:GRKP), member of the Board of Directors of Orascom Development Holding Ltd (SIX:ODHN), member of the Investment Committee of Transparenta Investment Foundation, and Vice-Chairwoman of the Swiss CFO Forum, chairing the Jury of the Swiss CFO Award. Before, she was CFO of Santhera Pharmaceuticals and spent 15 years+ in Corporate & Investment Banking at Vontobel and Credit Suisse Group. Barbara holds an MBA of the University of Zurich.



Dr. Daniel Wild, Chief Sustainability Officer at Bank J. Safra Sarasin since March 2022. He is responsible for further developing the existing sustainability strategy and the proprietary ESG analysis and coordinates all sustainability-related processes and product offerings. Daniel has held leadership roles for over 20 years in sustainability and investment management. Before joining the Bank, he was Credit Suisse's Global Head of ESG Strategy and held various management positions at RobecoSAM. He also led infrastructure financing programs in Southeastern Europe and Asia for SECO and headed the Environmental Technology Department at EBP. He holds a Master's in Chemical Engineering as well as a PhD from ETH Zurich, followed by postdoctoral research at Stanford University.



Heather Ranking, joined Nestlé's global Public Affairs team in 2021 to lead on ESG and sustainability reporting. She led the integration of ESG information into Nestlé's 2021 annual review and the refreshed approach to the company's CSV and Sustainability Report. Heather also manages Nestlé's response to ESG disclosures including CDP. Prior to joining Nestlé, Heather had a successful 15+ year career as a consultant, providing expertise in sustainability policy, reporting and communications to multinational clients including HP, Mars, Oatly, Roche, SAB Miller and WPP. Originally an industrial engineer, Heather combines her technical background and sustainability expertise with strategic thinking and communication skills, to produce meaningful, high-quality reporting.



Peter Burkhalter, Head Accounting at Swisscom and member of the board of directors of the Italian subsidiary Fastweb. He is responsible for the accounting and reporting standards and policies and the external financial reporting of Swisscom, and is a key link between the groups' management, Audit Committee and external auditors. Furthermore, he is actively involved in recently launched corporate ESG programs (SASB, nonfinancial reporting, climate-related information/TCFD, CSRD/taxonomy, sustainability reporting standards). Peter is a Swiss Certified Public Accountant (CPA) and Chartered Expert in Financial and Managerial Accounting and Reporting. He also holds a CAS in Applied Economic History.



Giacomo Balzarini, lic. oec. publ., MBA, Chief Executive Officer/ Chief Financial Officer of PSP Swiss Property (in this position as of 1 April 2017; prior since 1 April 2007 Chief Financial Officer) and Chairman of the Board of Directors of all PSP Swiss Property Ltd subsidiaries. Mr. Balzarini completed his studies in economics at the University of Zurich in 1996. In 2002 he obtained an MBA from the University of Chicago (Ill., USA).



Event Host

Dr. Christian Leitz, Head Corporate Responsibility & Corporate Historian at UBS. Christian coordinates UBS's sustainability reporting and has particular responsibilities in the area of stakeholder management. As Secretary to the UBS Corporate Culture and Responsibility Committee (CCRC), Christian supports the firm's Board in fulfilling its duty to advance the Group's reputation for responsible corporate conduct and to assess developments in stakeholder expectations. Christian has worked on a large range of sustainability topics and is a frequent speaker at conferences. Christian holds a PhD in modern history of Oxford University and a B.A. (Hons) in Humanities of the University of the West of England.